

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hanash et al.

Serial No. : 09/848,948

Examiner: TBA

Filed : May 4, 2001

Group Art Unit: TBA

For : S100 PROTEINS AND AUTOANTIBODIES AS  
SERUM MARKERS FOR CANCERRESPONSE TO NOTICE OF MISSING PARTS

I hereby certify that this paper is being deposited with the  
United States Postal Service as first class mail in an  
envelope addressed to: Assistant Commissioner of Patents,  
Washington, D.C. 20231. Date: September 26, 2001

Attorney: Carmella L. Stephens

PTO Reg. No. 35,225

Carmella L. Stephens  
Signature

September 26, 2001  
Date of Signature

Assistant Commissioner of Patents  
Washington, D.C. 20231

SIR:

In response to the Notice of Missing Parts dated April 20, 2001, enclosed are: a  
Combined Declaration and Power of Attorney, executed by the Applicants; and substitute  
drawings, in compliance with 37 CFR 1.84. A Petition for Extension of Time under 37 CFR  
1.136(a) for a period of one month, up to and including September 29, 2001, is enclosed. Also  
enclosed is an Assignment, executed by the Applicants, together with a recordation form.

Respectfully submitted,

Carmella L. Stephens  
Rochelle K. Seide  
PTO Reg. No. 32,300

Carmella L. Stephens  
PTO Registration No. 41,328

ATTORNEYS FOR APPLICANTS

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/848,948         | 05/04/2001          | Samir M. Hanash       | A31909-PCT USA         |

CONFIRMATION NO. 8499

## FORMALITIES LETTER



\*OC000000006244259\*

BAKER & BOTTS, L.L.P.  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112

Date Mailed: 06/29/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

10/02/2001 HVUONG1 00000027 09848948

02 FC:105

130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

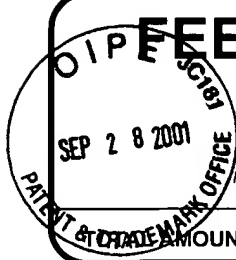
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**FEE TRANSMITTAL**  
for FY 2001

Patent fees are subject to annual revision.

AMOUNT OF PAYMENT (\$)**280**



**Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   | 09/848,948     |
| Filing Date          | 05/04/2001     |
| First Named Inventor | Hanash         |
| Examiner Name        | TBA            |
| Group Art Unit       | TBA            |
| Attorney Docket No.  | A31909-PCT-USA |

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**

Deposit Account Name **Baker Botts LLP**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

| Large Entity        | Small Entity | Fee (\$) | Fee Description        | Fee Paid      |
|---------------------|--------------|----------|------------------------|---------------|
|                     |              | 710      | Utility filing fee     |               |
|                     |              | 320      | Design filing fee      |               |
|                     |              | 490      | Plant filing fee       |               |
|                     |              | 710      | Reissue filing fee     |               |
|                     |              | 150      | Provisional filing fee |               |
| <b>SUBTOTAL (1)</b> |              |          |                        | <b>(\$ 0)</b> |

**2. EXTRA CLAIM FEES**

Total Claims  - 20\*\* =  X  =  0

Independent Claims  - 3\*\* =  X  =  0

Multiple Dependent  =

| Large Entity        | Small Entity | Fee (\$) | Fee Description  | Fee Paid      |
|---------------------|--------------|----------|--|---------------|
|                     |              | 18       | Claims in excess of 20                                     |               |
|                     |              | 80       | Independent claims in excess of 3                          |               |
|                     |              | 270      | Multiple dependent claim, if not paid                      |               |
|                     |              | 80       | ** Reissue independent claims over original patent         |               |
|                     |              | 18       | ** Reissue claims in excess of 20 and over original patent |               |
| <b>SUBTOTAL (2)</b> |              |          |  | <b>(\$ 0)</b> |

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

| Large Entity Fee (\$)     | Small Entity Fee (\$) | Fee Description  | Fee Paid        |
|---------------------------|-----------------------|--|-----------------|
| 130                       | 65                    | Surcharge - late filing fee or oath  | 130             |
| 50                        | 25                    | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 130                       | 130                   | Non-English specification  |                 |
| 2,520                     | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |                 |
| 920*                      | 920*                  | Requesting publication of SIR prior to Examiner action                     |                 |
| 1,840*                    | 1,840*                | Requesting publication of SIR after Examiner action                        |                 |
| 110                       | 55                    | Extension for reply within first month                                     | 110             |
| 390                       | 195                   | Extension for reply within second month                                    |                 |
| 890                       | 445                   | Extension for reply within third month                                     |                 |
| 1,390                     | 695                   | Extension for reply within fourth month                                    |                 |
| 1,890                     | 945                   | Extension for reply within fifth month                                     |                 |
| 310                       | 155                   | Notice of Appeal   |                 |
| 310                       | 155                   | Filing a brief in support of an appeal                                     |                 |
| 270                       | 135                   | Request for oral hearing   |                 |
| 1,510                     | 1,510                 | Petition to institute a public use proceeding                              |                 |
| 110                       | 55                    | Petition to revive - unavoidable   |                 |
| 1,240                     | 620                   | Petition to revive - unintentional   |                 |
| 1,240                     | 620                   | Utility issue fee (or reissue)   |                 |
| 440                       | 220                   | Design issue fee   |                 |
| 600                       | 300                   | Plant issue fee  |                 |
| 130                       | 130                   | Petitions to the Commissioner  |                 |
| 50                        | 50                    | Processing fee under 37 CFR 1.17(q)  |                 |
| 180                       | 180                   | Submission of Information Disclosure Stmt                                  |                 |
| 40                        | 40                    | Recording each patent assignment per property (times number of properties) | 40              |
| 710                       | 355                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |                 |
| 710                       | 355                   | For each additional invention to be examined (37 CFR § 1.129(b))           |                 |
| 710                       | 355                   | Request for Continued Examination (RCE)                                    |                 |
| 900                       | 900                   | Request for expedited examination of a design application                  |                 |
| Other fee (specify) _____ |                       |  |                 |
| <b>SUBTOTAL (3)</b>       |                       |  | <b>(\$ 280)</b> |

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

|                   |                             |                                   |            |           |                |
|-------------------|-----------------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Carmella L. Stephens        | Registration No. (Attorney/Agent) | 41,328     | Telephone | (212) 408-2539 |
| Signature         | <i>Carmella L. Stephens</i> | Date                              | 09/26/2001 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.